



Mitchell L. Christopher

Allen Wealth Management, LLC

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Mitchell L. Christopher that supplements the Allen Wealth Management, LLC brochure. You should have received a copy of that brochure. Contact us at 828-268-9693 if you did not receive Allen Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mitchell L. Christopher (CRD # 7804286) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Mitchell L. Christopher

Year of Birth: 1998

Formal Education After High School:

- Indiana University, BS Environmental Management, 2021

Business Background:

- Allen Wealth Management, LLC, Assistant Financial Advisor, 1/2025 - Present
- Raymond James Financial Services, Inc., Registered Associate, 10/2024 - Present
- Allen Wealth Management, LLC, Administrative Associate, 9/2023 - 12/2024
- The Greenery, Inc., Manager, 4/2022 - 8/2023
- Globe Life, Insurance Producer, 1/2022 - 4/2022
- Bartlett Tree Services, Arborist Groundsman, 8/2021 - 1/2022
- Indiana University Bloomington, Full-time Student, 8/2017 - 5/2021
- Indiana State Department of Agriculture, Internship, 5/2020 - 8/2020

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Mitchell L. Christopher has no required disclosures under this item.

Item 4 Other Business Activities

Mitchell L. Christopher is a Registered Representative with Raymond James Financial Services, Inc. ("RJFS"), member FINRA/SIPC. RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Christopher may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If you purchase these products through Mr. Christopher, he will receive the customary commissions in his separate capacity as a Registered Representative of RJFS.

Additionally, Mr. Christopher could be eligible to receive incentive awards such as RJFS may offer. He will also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation will give Mr. Christopher an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mitchell L. Christopher has a fiduciary duty to act in your best interest when acting in an investment adviser representative capacity, including the duty to seek best execution. Therefore, our Company's mutual fund selection and recommendation process takes into consideration several factors in order to meet this requirement. See the ADV Part 2A Brochure, the Brokerage Practices section, for additional information on our mutual fund share class selection process.

As stated above, persons providing investment advice to advisory clients on behalf of our firm are registered representatives with RJFS. In their capacity as registered representatives, these persons receive compensation in connection with the purchase and sale of securities or other investment products, including asset-based sales charges, service fees or 12b-1 fees for the sale or holding mutual funds. Compensation earned by these persons in their capacities as registered representatives is separate and in addition to our advisory fees. This practice presents a conflict of interest because persons providing investment advice to advisory clients on behalf of our firm who are registered representatives have an incentive to recommend investment products based on the compensation

received rather than solely based on your needs. Persons providing investment advice to advisory clients on behalf of our firm can select or recommend, and in many instances will select or recommend, mutual fund investments in share classes that pay 12b-1 fees when clients are eligible to purchase share classes of the same funds that do not pay such fees and are less expensive. This presents a conflict of interest. You are under no obligation, contractually or otherwise, to purchase securities products through a person affiliated with our firm.

Mitchell L. Christopher is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Christopher for insurance related activities. This presents a conflict of interest because Mr. Christopher may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Christopher's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Allen Wealth Management, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Allen Wealth Management, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Jonathan Allen, Chief Compliance Officer

Supervisor phone number: 828-268-9693