



**Eric Franklin**

**Allen Wealth Management, LLC**

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**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Eric Franklin that supplements the Allen Wealth Management, LLC brochure. You should have received a copy of that brochure. Contact us at 828-268-9693 if you did not receive Allen Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Eric Franklin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Eric Franklin

*Year of Birth:* 1972

*Formal Education After High School:*

- Queen's College
- Lees-McRae College, BS, Business Administration , 1995

*Business Background:*

- Allen Wealth Management, LLC, Investment Adviser Representative, 1/2015 - Present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 9/2014 - Present
- Raymond James Financial Services Inc., Financial Advisor, 8/2014 - Present
- First Citizens Investor Services, Client Services Assistant, 6/2014 - 8/2014
- Wells Fargo/Wachovia, Licensed Banker, 5/1997 - 6/2014

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Eric Franklin has no required disclosures under this item.

## Item 4 Other Business Activities

Eric Franklin is a Registered Representative with Raymond James Financial Services, Inc. Raymond James Financial Services, Inc. is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Franklin may recommend securities or insurance products offered by Raymond James Financial Services, Inc. as part of your investment portfolio. If you purchase these products through Mr. Franklin, he will receive the customary commissions in his separate capacity as a Registered Representative of Raymond James Financial Services, Inc.

Additionally, Mr. Franklin could be eligible to receive incentive awards such as Raymond James Financial Services, Inc. may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Franklin an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Eric Franklin is an Investment Adviser Representative of Raymond James Financial Services Advisors, Inc., a registered investment adviser. When appropriate, Mr. Franklin may recommend that you use the investment advisory services of Raymond James Financial Services Advisors, Inc. If you utilize the advisory services of Mr. Franklin through Raymond James Financial Services Advisors, Inc., he may receive additional fees or other compensation in his capacity as an investment adviser representative. These fees would be in addition to any fees charged for the advisory services provided through Allen Wealth Management, LLC.

## Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Franklin's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Allen Wealth Management, LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Allen Wealth Management, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Jonathan Allen, Chief Compliance Officer

Supervisor phone number: 828-268-9693